

## NOTICE of the Decisions of the Virtual Meeting of the Audit and Governance Committee held on 1 September, 2020

**Present:** Councillors Richard Griffiths, G O Jones, R LI Jones, Dylan Rees, Alun Roberts, Margaret Murley Roberts, P S Rogers (Chair), John Griffith and Mendoza (Lay Member),(Vice-Chair)

Lay Member: Mr Dilwyn Evans

In attendance: Chief Executive, Director of Function (Resources) and Section 151 Officer, Director of Function (Council Business)/Monitoring Officer (for items 3, 4 and 5), Interim Director of Social Services (for item 9), Head of Internal Audit & Risk (MP), Head of Democratic Services, Committee Officer (ATH)

**Apologies:** None received

**Also Present:** Councillor Robin Williams (Portfolio Member for Finance), Mr Ian Howse (Engagement Partner - Deloitte)(for item 6), Ms Bethan Roberts and Mr Alan Hughes (Audit Wales) Accountancy Services Manager (BHO), Finance Manager (CK), Principal Auditor (NW), Senior Auditor (NR), Mr Gareth Wyn Williams, Local Democracy Reporter

(2:00 p.m. to 5:20 p.m.)

ITEM NUMBER AND SUBJECT MATTER	<b>1 Declaration of interest</b> Not applicable
DECISION	Councillor Peter Rogers and Mrs Annwen Morgan, Chief Executive both declared a prejudicial interest with regard to item 4 on the agenda and neither was present when this item was considered by the Committee.
ITEM NUMBER AND SUBJECT MATTER	<b>2 Minutes of the Previous Meeting</b> Not applicable
DECISION	The minutes of the previous meeting of the Audit and Governance Committee held on 21st July, 2020, were presented and were confirmed as correct.
ITEM NUMBER AND SUBJECT MATTER	<b>3 Information Governance: Annual Report of the Senior Information Risk Owner (SIRO) 2019/20</b> Report by the Director of Function (Council Business)/Monitoring Officer
DECISION	Having considered the report, the Audit and Governance Committee resolved –  • To accept the SIRO’s statement covering the period April, 2019 to March,

	<p>2020.</p> <ul style="list-style-type: none"> <li>• That the Learning Service ensures that adequate resources are allocated to ensure that the long outstanding consent audit is completed.</li> <li>• That the Council's development of its GDPR Article 30 Record of Processing Activities is supported by its services.</li> <li>• To endorse any remaining actions on the Data Protection Work Plan as reflecting the information governance risks facing the Council.</li> </ul> <p>NO ADDITIONAL ACTION WAS PROPOSED</p>
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ITEM NUMBER AND SUBJECT MATTER	<p><b>4 Annual Report - Concerns, Complaints and Whistleblowing 2019/20</b> Report by the Director of Function (Council Business)/Monitoring Officer</p>
DECISION	<p>It was resolved –</p> <ul style="list-style-type: none"> <li>• To accept the report as providing reasonable assurance that the Council is compliant with the processes required under its Concerns and Complaints Policy and Whistleblowing Policy/Guidance.</li> <li>• To accept and to note the Lessons Learnt Table as at Appendix 1 to the report as presented.</li> </ul> <p>ADDITIONAL ACTION – The Director of Function (Council Business) /Monitoring Officer to include data relating to compliments received in next year's annual report.</p>

ITEM NUMBER AND SUBJECT MATTER	<p><b>5 Policy Acceptance - Year 3 Compliance Data</b> Report by the Director of Function (Council Business)/Monitoring Officer</p>
DECISION	<p>It was resolved to accept the report and to note the current position with regard to policy acceptance across the Council and the proposal to re-start the requirements as from 1 September, 2020.</p> <p>ADDITIONAL ACTION: The Head of Housing Services be asked to provide the Committee with a response to the declining policy acceptance compliance level within Housing Services.</p>

ITEM NUMBER AND SUBJECT MATTER	<b>6 Statement of the Accounts 2019/20</b> Report by the Director of Function (Resources)/Section 151 Officer
DECISION	<p>It was resolved –</p> <ul style="list-style-type: none"> <li>• To recommend to the Full Council that it confirms acceptance of the 2019/20 Interim Final Statement of Accounts as presented in Appendix 1 to the report to Committee</li> <li>• To endorse the Annual Governance Statement and to refer the document to Full Council for approval and the Leader of the Council and the Chief Executive for signature.</li> <li>• To note that the Statement of Accounts 2019/20 will return to the Audit and Governance Committee and Full Council and will be signed again by the Director of Function (Resources)/Section 151 Officer once the audit opinion and report have been completed. Any changes arising from the audit review will be reflected in the Statement of Accounts on its return for scrutiny and endorsement.</li> </ul> <p>ADDITIONAL ACTIONS-</p> <ul style="list-style-type: none"> <li>• Director of Function (Resources)/Section 151 Officer to amend/clarify the textual points raised with regard to wording.</li> <li>• Chief Executive to confirm availability of the assets list for the Committee's Lay Members with the Chair of the Land and Assets Group.</li> </ul>
ITEM NUMBER AND SUBJECT MATTER	<b>7 Update on the Internal Audit Strategy and Priorities 2020/21</b> Report by the Head of Audit and Risk
DECISION	<p>It was resolved to note Internal Audit's assurance provision and priorities going forward.</p> <p>NO ADDITIONAL ACTION WAS PROPOSED</p>
ITEM NUMBER AND SUBJECT MATTER	<b>8 External Audit: Financial Sustainability Assessment - Isle of Anglesey County Council</b> Report by External Audit
DECISION	<p>It was resolved to accept the External Audit's Financial Sustainability Assessment Report with regard to the Isle of Anglesey County Council and to note its contents.</p>

	ADDITIONAL ACTION - Head of Audit and Risk to circulate the Internal Audit review report on Financial Resilience once translation has been completed.
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ITEM NUMBER AND SUBJECT MATTER	<b>9 External Audit: Wellbeing of Future Generations : An Examination of Early Intervention and Prevention to Ensure that Children are Safe And Supported - IOACC</b> Report by External Audit
DECISION	It was resolved to accept External Audit's report on its examination of Early Intervention and prevention to ensure that children are safe and supported and to note the contents.  NO ADDITIONAL ACTION WAS PROPOSED

ITEM NUMBER AND SUBJECT MATTER	<b>10 Annual Report of the Audit and Governance Committee 2019/20</b> Report by the Head of Audit and Risk
DECISION	It was resolved to endorse the Annual Report of the Audit and Governance Committee for 2019/20 prior to be submission to the Full Council meeting on 8 September, 2020.